CLINICAL SERIOUS ADVERSE EVENT REPORT

| Jans | sen Research and Development, LLC FAX COVER PAGE |
|----------------------|--|
| Pro | tocol Number: 54767414AMY3001 EUDRACT Number: 2016-001737-27 (if applicable) |
| To: | Fax No: |
| Paç | pes: Initial report |
| | Site ID Number: Country where SAE occurred: |
| SITE INFORMATION | Date Investigator/Investigational Staff became aware of SAE: d d M O N y y |
| | Principal Investigator's Name: First Last: Reported By: First Name: Last Name: |
| | Site Address: Telephone #: (country code) E-mail Address: |
| | |
| J. | Investigator's Statement (Principal or Sub-Investigator) I have verified the data on this SAE Report and have determined they are accurate and compatible with source documents. |
| REPORTING | Investigator Name (Please print): First: Last: |
| | Investigator Signature (required): Date: d d M O N y y |
| | |
| | Date SAE report received: Date SAE report received: GMS Reference Number: GMS Reference Number: |
| FOR SPONSOR USE ONLY | Sponsor Rep/Agent who received this report: (please print name clearly) |
| SOR I | Clinical Contact's Telephone Number, please include country code: |
| FOR SPO | Additional information requested? |
| | |

Investigator: File original SAE report in TCF.

Sponsor: File a copy of the SAE report in the Investigator File with a copy of the attachments.

| | ATTACH SAE CRF PA | GES AND COPIES (| OF OTHER RELEVANT | CRF PAGES/DOCUMENTS AND INDI | CATE IN CHECKBOXES BELOW: |
|-----------------|---|------------------------|---------------------------|--|---------------------------|
| | □SAE CRF □C | Concomitant Therapy | ☐Medical History | Exposure/Study Drug Administration | ☐Relevant Labs, X-rays |
| | Other: | | | | |
| | Investigator Narrative | For EACH SAE de | escribe the course of eve | ents, timing and suspected causes | |
| | Signs & Symptoms | | | | |
| | Risk Factors | | | | |
| | Investigations and Supporting Diagnostics (eg labs) | | | | |
| rion | Differential Diagnosis | | | | |
| SAE DESCRIPTION | Course of Events | | | | |
| S | Treatment for SAE/ Response to Treatment | | | | |
| | Suspected Causes | | | | |
| | Other Comments | | | | |
| | Dechallenge | If applicable, descri | be whether and which e | event(s) abated on withdrawal of the stud | y agent(s). |
| | Rechallenge | If applicable, descri | be whether and which e | event(s) re-occurred on re-initiation of the | study agent(s). |

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CLINICAL SERIOUS ADVERSE EVENT REPORT

| ☐ In | itial Report 🗌 Foll | low-up report | Subject ID N | umber: | | | | |
|--------------------------------|--|---|--|---|---|--|---|--|
| SUBJECT | Sex: Male Female Undifferentiated | Weight: | | | | 1 | Age at Onset of SAE | |
| SIS | a new term, reported in | n addition to the SAE Te | erm(s) reported in the | ch SAE, whether the SAE Diagnosis provided is replacing the initial diagnosis, or if the SAE Diagnosis is m(s) reported in the initial report. | | | | |
| SAE DIAGNOSIS | SAE (if diagnosis un | known, list symptoms) | SAE (if diagnosis unknown, list symptoms) | | | SAE (if diagnosis unknown, list symptoms) | | |
| SAE D | | | | | | | | |
| Onset | d d M O N y | y 24 hour clock | d d M O N y y 24 hour clock | | | d d M O N y y 24 hour clock | | |
| Toxicity grade | ☐ 1 ☐ 2 ☐ 3 (NCI-CTCAE grades ve | ☐ 4 ☐ 5 er. 4.03) | ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 (NCI-CTCAE grades v. 4.03) | | | ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 (NCI-CTCAE grades v. 4.03) | | |
| | Causality | Action taken with agent Drug withdrawn | Causality | | n with agent ithdrawn | Causality | Action taken with agent Drug withdrawn | |
| Agent A Daratumumab | Not relatedDoubtfulPossibleProbableVery likely | Drug interrupted Dose reduced Dose increased Dose not changed Unknown Not applicable | Not related Doubtful Possible Probable Very likely | ☐ Drug int☐ Dose re☐ Dose in | terrupted educed acreased ot changed vn | ☐ Not related ☐ Doubtful ☐ Possible ☐ Probable ☐ Very likely | ☐ Drug interrupted ☐ Dose reduced ☐ Dose increased ☐ Dose not changed ☐ Unknown ☐ Not applicable | |
| Agent B Cyclophosphamide | ☐ Not related ☐ Doubtful ☐ Possible ☐ Probable ☐ Very likely | Drug withdrawn Drug interrupted Dose reduced Dose increased Dose not changed Unknown Not applicable | Not related Doubtful Possible Probable Very likely | ☐ Drug int☐ Dose re☐ Dose in | ocreased ot changed vn | Not related Doubtful Possible Probable Very likely | ☐ Drug withdrawn ☐ Drug interrupted ☐ Dose reduced ☐ Dose increased ☐ Dose not changed ☐ Unknown ☐ Not applicable | |
| Agent C Bortezomib | ☐ Not related ☐ Doubtful ☐ Possible ☐ Probable ☐ Very likely | ☐ Drug withdrawn ☐ Drug interrupted ☐ Dose reduced ☐ Dose increased ☐ Dose not changed ☐ Unknown ☐ Not applicable | Not related Doubtful Possible Probable Very likely | Drug in Dose re Dose in | creased ot changed vn | Not related Doubtful Possible Probable Very likely | ☐ Drug withdrawn ☐ Drug interrupted ☐ Dose reduced ☐ Dose increased ☐ Dose not changed ☐ Unknown ☐ Not applicable | |
| Agent D Dexamethasone | ☐ Not related ☐ Doubtful ☐ Possible ☐ Probable ☐ Very likely | Drug withdrawn Drug interrupted Dose reduced Dose increased Dose not changed Unknown Not applicable | Not related Doubtful Possible Probable Very likely | ☐ Drug int☐ Dose re☐ Dose in | creased ot changed vn | Not related Doubtful Possible Probable Very likely | ☐ Drug withdrawn ☐ Drug interrupted ☐ Dose reduced ☐ Dose increased ☐ Dose not changed ☐ Unknown ☐ Not applicable | |
| o Trial ure? | Is SAE related to | any trial procedure no | ot including study a | agent therapy? If ye | s, please spe | ecify the specific tri | al procedure in narrative | |
| Related to Trial Procedure? | □No | ☐ Yes | □No | Yes | | □ No | ☐ Yes | |

| SAE Outcome | Recovered/resolver | with d d | n sequelae | Reco | Recovered/resolved overy date: Recovering/resolving Not recovered/not resertal1 | vith sequelae d d M O N y y | Red | Recovered/resolved covery date: Recovering/resolving Not recovered/not re Fatal¹ Unknown | d | with sequelae d M O N y y |
|--------------------------|---|----------|---|------|---|--|-----|---|---|--|
| SAE Seriousness Category | ☐ Death² ☐ Hospitalization required³ ☐ Prolonged hospitalization ☐ Life threatening | disa | ersistent or significant sability/incapacity ongenital anomaly/ th defect ther medically portant condition | | Death ² Hospitalization required ³ Prolonged hospitalization Life threatening | Persistent or significant disability/incapacity Congenital anomaly/ birth defect Other medically important condition | | Death ² Hospitalization required ³ Prolonged hospitalization Life threatening | | Persistent or significant disability/incapacity Congenital anomaly/ birth defect Other medically important condition |

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Janssen Research & Development, LLC Protocol Number 54767414AMY3001

EUDRACT Number 2016-001737-27

CLINICAL SERIOUS ADVERSE EVENT REPORT (continued)

| ☐ In | ☐ Initial Report ☐ Follow-up report Subject ID Number: | | | | | |
|-----------------------------|--|---|--------------------------------|--|--|--|
| Death | Date of death*: | | | | | |
| | Dute of death . | ☐ No ☐ Yes (If yes, attach | n copy of report if available) | | | |
| Hosp | Hospital admission date: | N y y Hospital discha | arge date: d d M O N y y | | | |
| Trial Design | Open-label only | | | | | |
| | ☐ Subject ha | s NEVER received any study agent (skip re | | | | |
| | Start Date Start Time | Stop Date Stop Time | <u>Indication</u> | | | |
| | d d M O N y y 24 hour clock | d d M O N y y 24 hour clock | | | | |
| | Agent A | Batch/Lot No. | Med. Kit No. | | | |
| | Daratumumab | | | | | |
| | Dose | Unit Frequency | Route | | | |
| | | | | | | |
| NG | Start Date Start Time | Stop Date Stop Time | Indication | | | |
| STUDY PRODUCT(S) AND DOSING | d d M O N y y 24 hour clock | d d M O y y 24 hour clock | | | | |
| рист(s | Agent B | Batch/Lot No. | | | | |
| JDY PRO | Cyclophosphamide | | | | | |
| STI | Dose | Unit Frequency | Route | | | |
| | | | | | | |
| | Start Date Start Time | Stop Date Stop Time | Indication | | | |
| | d d M O N y y 24 hour clock | d d M O N y y 24 hour clock | | | | |
| | Agent C | Batch/Lot No. | | | | |
| | Bortezomib | | | | | |
| | Dose | Unit Frequency | Route | | | |
| | | | | | | |

| Start Date | Start Time | Stop Date | Stop Time | Indication |
|---------------|-----------------|---------------|---------------|------------|
| d d M O N y | y 24 hour clock | d d M O N y | 24 hour clock | |
| Agent D | | Batch/Lot No. | | |
| Dexamethasone | | | | |
| Dose | | Unit | Frequency | Route |
| B000 | | | | |
| 5000 | | | | |

Investigator: File original SAE report in TCF.

Sponsor: File a copy of the SAE report in the Investigator File with a copy of the attachments

^{*} Ensure this Date of death is entered on the 'End of Trial' (Death information) or other disposition page in the subject's CRF.